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# United States Senate

COMMITTEE ON SMALL BUSINESS & ENTREPRENEURSHIP

WASHINGTON, DC 20510-6350

August 28, 2001

The Honorable Hector Barreto  
Administrator  
Small Business Administration  
409 Third Street, S.W.  
Washington, D.C.

Dear Mr. Barreto:

During your confirmation hearing before the Committee on Small Business and Entrepreneurship, we discussed my concerns about the lack of progress at the Small Business Administration (SBA) in completing the Loan Monitoring System (LMS). One of the reasons for the failure to meet the agency's milestones for completing this project was the decision by senior SBA officials in the last Administration to spend funds earmarked for the LMS on other projects, including the Joint Accounting and Administrative Management System (JAAMS). Discussions with the staff from the SBA and the General Accounting Office (GAO) about the JAAMS have raised questions about the decision by agency officials to divert funds that were earmarked by Congress specifically for the LMS for the JAAMS.

Given my concerns about the circumstances surrounding this project, I request that the following documents be provided to me:

- Copies of all records relating to presentations (including proposals) given to SBA by both Oracle and SRA International prior to any contract being agreed to;
- Copies of all records relating to the selection of SRA International and Oracle;
- Copies of all records pertaining to agreements involving SBA, SRA International and Oracle, including any subcontractor or Value Added Reseller or Government agency involved in the transaction;
- Copies of all records relating to alternative 1, the Cross Servicing Agreement;
- Copies of all records referring to the expenditure of any funds on the so-called phase II of the Systems Modernization Initiative;
- Copies of all records relating to communications between SBA, Oracle and SRA International regarding the selection of Oracle ERP as the COTS solution;
- Copies of all records pertaining to any analysis of Oracle 11i installations within the Federal government;

- Copies of all records relating to any federal agency regarding their selection of Oracle 11i or their deliberations to select Oracle 11i; and
- Copies of all records relating to any communications between SBA and OMB regarding the selection of Oracle 11i by SBA or any other Federal agency.

### **Definitions and Instructions**

For purposes of this request, the terms 'referring' or 'relating' and 'pertaining' as to any given subject means anything that constitutes, contains, embodies, identifies, deals with, or is in any manner whatsoever pertinent to that subject, including but not limited to records concerning the preparation of other records.

For the purpose of this request, the word 'record' or 'records' shall include but shall not be limited to any and all originals and identical copies of any item whether written, typed, handwritten, printed, recorded, transcribed, taped, film, graphically portrayed, video or audio taped, however produced or reproduced, and includes but is not limited to any writing, reproduction, transcription, photograph, or video or audio recording, produced or stored in any fashion, including any and all computer entries, memoranda, diaries, forms, telephone logs, tapes, notes, talking points, letters, journal entries, reports, studies, drawings, calendars, manuals, press releases, contracts, agreements, opinions, documents, analysis, messages, summaries, bulletins, e-mail, disks, briefing materials and notes, executive cover sheets or routing cover sheets or any other machine readable materials of any sort whether prepared by current or former employees in a full or part-time capacity, agents, consultants, or by any non-employee without limitation. 'Record' shall also include redacted and un-redacted versions of the same record.

### **Manner of Production**

In preparing the information requested for production to the Committee, please:

1. repeat each request; and
2. clearly identify each record and the request(s) to which it is responsive.

Should you decide to withhold any records, please identify the record and provide the legal basis for it being withheld. In the event any record is redacted in whole or in part, please identify the legal basis for the redaction. In addition, please indicate any records which you know existed but cannot be located or have been destroyed.

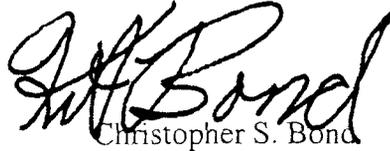
**Moreover, please be sure to provide to this Committee the records requested as they are compiled. Accordingly, if some portion of a response is available prior to the due date**

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**of the document request, it should be provided immediately to the Committee staff and not withheld in anticipation of the due date.**

Your prompt response and help in delivering these records to my office no later than September 21, 2001, will be very much appreciated. If you have any questions about the request, please feel free to call me or have your staff contact Paul Conlon at (202)

Sincerely,

A handwritten signature in black ink, appearing to read "Chris Bond", written in a cursive style.

Christopher S. Bond  
Ranking Member